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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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IN RE APPLICATION OF: JEFFREY TUATINI

APR 3 0 2002

Technology Center 2600

APPLICATION NO.: 09/755,597	ART UNIT: 2661
	CONFIRMATION NO.: 4894
FILED: JANUARY 2, 2001	DOCKET NO. 243768009US1
FOR: PASSTHRU TO SHARED SERVICE FUNCTIONALITY	(25MB-0021)

Information Disclosure Statement Within Three Months of Application Filing or Before First Action – 37 CFR 1.97(b)

Commissioner for Patents
Washington, D.C. 20231

Sir:

1. <u>Timing of Submission</u>

    This information disclosure is being filed within three months of the filing date of this application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever occurs last [37 CFR 1.97(b)]. The references listed on the enclosed Form PTO-1449 (modified) may be material to the examination of this application; the Examiner is requested to make them of record in the application.

2. <u>Cited Information</u>

    ☒   Copies of the following references are enclosed:

    ☒   All cited references
    ☐   References marked by asterisks
    ☐   The following:

    ☐   Copies of the following references can be found in parent application Ser. No.

    ☐   All cited references
    ☐   References marked by asterisks
    ☐   The following:

    ☐   The following references are not in English. For each such reference, the undersigned has enclosed (i) a translation of the reference; (ii) a copy of a communication from a foreign patent office or International Searching Authority citing the reference, (iii) a copy of a reference which appears to be

an English-language counterpart, or (iv) an English-language abstract for the reference prepared by a third party. Applicant has not verified that the translation, English-language counterpart or third-party abstract is an accurate representation of the teachings of the non-English reference, though, and reserves the right to demonstrate otherwise.

☐   All cited references
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3.   <u>Effect of Information Disclosure Statement (37 CFR 1.97(h))</u>

This Information Disclosure Statement is not to be construed as a representation that: (i) a search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the cited information is, or is considered to be, material to patentability. In addition, applicant does not admit that any enclosed item of information constitutes prior art to the subject invention and specifically reserves the right to demonstrate that any such reference is not prior art.
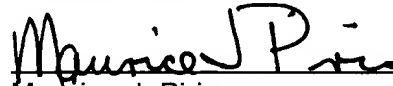
4.   <u>Fee Payment</u>

No fees are believed due. However, should the Commissioner determine that fees are due in order for this Information Disclosure Statement to be considered, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 50-0665.

5.   <u>Patent Term Adjustment (37 CFR 1.704(d))</u>

☐   The undersigned states that each item of information submitted herewith was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this statement. 37 C.F.R. § 1.704(d).
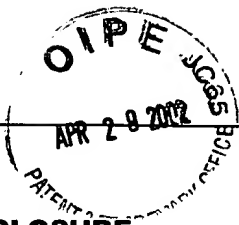
Respectfully submitted,
Perkins Coie LLP

Date: April 24, 2002

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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

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COMPLETE IF KNOWN	
Application Number	09/755,597
Confirmation Number	4894
Filing Date	January 2, 2001
First Named Inventor	Jeffrey Tuatini
Group Art Unit	2661
Examiner Name	

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Sheet	1	of	4	Attorney Docket No.	243768009US1 (25MB-0021)

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No.	U.S. Patent Document NUMBER	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	09/755,621		Tuatini	1/2/01	
	AB	09/753,038		Tuatini	12/28/00	
	AC	09/755,599		Tuatini	1/2/01	
	AD	09/745,073		Chacko	12/19/00	
	AE	09/753,036		Tuatini	12/28/00	
	AF	09/753,035		Eng	12/28/00	
	AG	09/753,037		Tuatini	12/28/00	
	AH	5,950,195		Stockwell et al.	9/7/99	
	AI	5,555,346		Gross et al.	9/10/96	

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EXAMINER INITIALS	Cite No.	Foreign Patent Document Office	Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
	AJ	WO	WO02/01356		Preview Systems, Inc.	1/3/02		
	AK	EP	EP1143664		Alcatel Internetworking, Inc.	10/10/01		
	AL	WO	WO01/17195		Accenture LLP	3/8/01		
	AM	EP	EP1126681		Sun Microsystems, Inc.	8/22/01		
	AN	WO	WO00/65507		Network Solutions, Inc.	11/2/00		

OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS

EXAMINER INITIALS	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume0issue number(s), publisher, city and/or country where published.	T
	AO	Jini™ Technology Core Platform Specification, Sun Microsystems, Version 1.2, pages 1-126, December 2001	
	AP	JINI™ JavaSpaces™ Service Specification, Sun Microsystems, Version 1.2, pages 1-28, December 2001	
	AQ	Jini™ Architecture Specification, Sun Microsystems, Version 1.2, pages 1-21, December 2001	

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	AD							
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6 ϋ	AH	Java™ Remote Method Invocation Specification, Sun Microsystems, Inc., Mountain View, California, pages 1-130, December 1999	
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	AC							

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19 O	AD	Burbeck, Steve, "Applications Programming in a Smalltalk-80(TM): How to Use Model-View-Controller (MVC), Copyright 1987 http://st-www.cs.uiuc.edu/users/sma...	
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21 O	AF	developerWorks : Web Architecture XML : Library "IBM Application Framework for e-business: Web Application Programming Model" http://www.ibm.com/developer/features/framework/framework.html December 14, 2000	
22 O	AG	Simplified Guide to the Java™ 2 Platform, Enterprise Edition, Sun Microsystems, Inc., Palo Alto, California, 1999	
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